

# SOC 2 Type 1

## Security

### Access Control

- Are access rights to systems and data properly defined, granted, and monitored?
- Are appropriate measures in place to prevent unauthorized access?

### Data Protection

- Are appropriate measures in place to protect data confidentiality, integrity, and availability?
- Are data encryption and backup/recovery procedures in place?

### Change Management

- Is there a formal process for managing changes to systems and applications?

### System and Network Security

- Are appropriate measures in place to protect systems and networks from threats, such as malware and intrusions?

### Physical and Environmental Security

- Are appropriate measures in place to protect physical and environmental factors that could impact system operations?

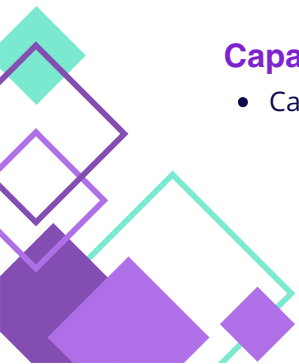
## Availability

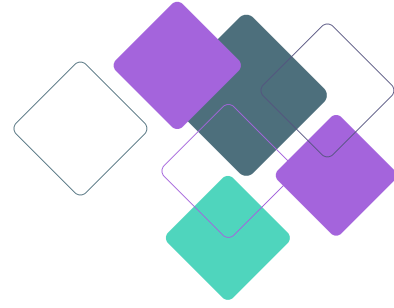
### System Availability

- Are systems and services available to users as needed?
- Are disaster recovery and business continuity plans in place and tested?

### Capacity Management

- Can the system handle expected and unexpected increases in demand?





# SOC 2 Type 1

## Processing Integrity

### Data Accuracy

- Are systems and processes designed to ensure the accuracy and completeness of data?
- Are there controls in place to prevent, detect, and correct data errors?

### System Processing

- Are systems processing data as intended and according to defined procedures?

## Confidentiality

### Data Protection

- Are appropriate measures in place to protect sensitive data from unauthorized disclosure?
- Are data encryption and access controls in place to protect confidential information?

## Privacy

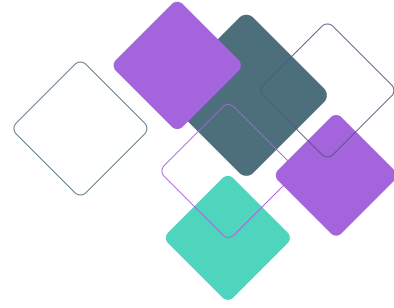
### Data Collection and Use

- Are personal data collected and used by applicable privacy laws and regulations (e.g., GDPR, CCPA)?
- Are appropriate privacy notices and consent mechanisms in place?

### Data Subject Rights

- Are individuals able to exercise their rights regarding their personal data (e.g., access, correction, deletion)?





# SOC 2 Type 1

## Service Auditor Report

### Type 1 Report

- Engage an independent service auditor to conduct a Type 1 examination.
- The auditor will assess the suitability of the design of your controls.

## Documentation

### Policies and Procedures

- Are there documented policies and procedures for all key controls?

### System Documentation

- Is system documentation (e.g., network diagrams, system configurations) accurate and up-to-date?

Ready to take the next step?

Contact Sahl to schedule a free consultation.

[Book a Demo](#)

